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Securities and Futures Appeal Tribunal sets date for Moody's hearing

8 Jan 2015

The Chairman of the Securities and Futures Appeal Tribunal, the Honourable Mr Justice Hartmann, has directed that the hearing of Moody's Investors Service Hong Kong Limited's (Moody's) application to review Securities and Futures Commission's (SFC) disciplinary decision against it be held on 10 and 11 September 2015. The morning of 12 September 2015 has also been reserved (Note 1).

The SFC's disciplinary decision against Moody's concerns a report titled "Red Flags For Emerging-Market Companies: A Focus on China" which it published on 11 July 2011.

End

Note:

1. Moody's is licensed to carry on Type 10 regulated activity (providing credit rating services) under the Securities and Futures Ordinance since 1 June 2011.

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