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## Enforcement News

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19 January 2010

### Retail investor sentenced for derivative warrant manipulation

A retail investor was today sentenced by the Eastern Magistracy to 160 hours of community services for manipulating the price of four derivative warrants.

The court also ordered Mr Leung Man Kin to pay investigation costs of \$16,570 to the Securities and Futures Commission (SFC).

The court heard that Leung manipulated the price of the derivative warrants on Industrial and Commercial Bank of China Ltd, China Mobile Ltd, China Huiyuan Juice Group Ltd and Bank of China Ltd during the continuous trading session on nine trading days between 11 June and 29 July 2008 (Note 1).

Leung had earlier pleaded guilty to all nine charges.

End

Note:

1. The four derivative warrants involved were: KC-ICBC@EC0807A, RB-CMOB@EC0810B, HS-HYJG@EC0811A and UB-BOCL@EC0808B. For details, please see SFC press release dated [5 January 2010](#).

Page last updated: 19 January 2010

[主頁](#) ▶ [新聞稿及公布](#) ▶ [新聞稿](#) ▶ [執法消息](#)

## 散戶投資者因操控權證價格被判社會服務令

2010年1月19日

散戶投資者梁文健（男）早前就操控四隻衍生權證價格被裁定罪名成立，今天在東區裁判法院被判**160**小時社會服務令。

法院同時命令梁向證券及期貨事務監察委員會（證監會）繳付合共**16,570**元調查費用。

梁在**2008**年**6**月**11**日至**7**月**29**日期間，於九個交易日的持續交易時段內操控四隻衍生權證的按盤價，這些權證的正股分別為中國工商銀行股份有限公司、中國移動有限公司、中國匯源果汁集團有限公司及中國銀行股份有限公司（註1）。

梁早前承認全部九項控罪。

完

備註：

1. 四隻衍生權證分別為：KC-ICBC@EC0807A、RB-CMOB@EC0810B、HS-HYJG@EC0811A 及 UB-BOCL@EC0808B。詳情請參閱證監會**2010**年**1**月**5**日的新聞稿。

最後更新日期：2012年8月1日