

Enforcement News

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5 July 2007

SFC Prosecutes Lee Sang Yoon for Disclosure of Interests Offence

The SFC has successfully prosecuted Mr Lee Sang Yoon for disclosure of interests breaches.

Lee today pleaded guilty to two summonses in relation to his failures to make timely disclosure to both the Stock Exchange and Artfield Group Limited the disposals of his interests in Artfield in October 2006, contravening Part XV of the Securities and Futures Ordinance.

Mr Douglas Yau, Acting Principal Magistrate at Eastern Magistracy, fined Lee a total of \$9,000 and ordered him to pay investigation costs of \$6,940 to the SFC.

Ends

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與執法有關的新聞

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2007年 7月 5日

證監會檢控李相潤指其觸犯權益披露罪行

證監會成功檢控李相潤 (男)，指其違反權益披露規定。

李今天承認兩項控罪。該等控罪指李沒有適時地向聯合交易所及雅域集團有限公司披露其在2006年10月出售所持有的雅域權益一事，因而違反《證券及期貨條例》第XV部的規定。

東區裁判法院署理主任裁判官游德康 (Mr Douglas Yau)判李罰款共9,000元，及命令他向證監會繳付調查費6,940元。

完

最後更新日期: 2007年 7月 5日