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## SFC Prosecutes Choi Chi Ming for Making Unsolicited Calls and Disclosing to Others Details of an SFC Interview

9 Jun 2006

The SFC has successfully prosecuted Mr Choi Chi Ming for making unsolicited calls and disclosing to others details of an interview in relation to an investigation under section 182 of the Securities and Futures Ordinance (SFO).

An SFC investigation found that in July and August 2004, Choi, a licensed representative of Hantec International Limited at the time, had visited a person who was not a client of Hantec and had made unsolicited calls to him with a view to inducing him to enter into agreements for trading in leveraged foreign exchange contracts, in contravention of section 174 of the SFO.

The investigation also revealed that after Choi attended an SFC interview on 2 June 2005, he had on two occasions disclosed the contents of his interview to two staff of Hantec, contravening section 378 of the SFO.

Choi had earlier pleaded guilty to the summons for breaching section 378 of the SFO. However, he pleaded not guilty to the summons for making unsolicited calls. Today, Choi was convicted after trial for making unsolicited calls before Mr William Lam, a Magistrate at Eastern Magistracy. Choi was fined a total of \$18,600 for the two summonses and ordered to pay the SFC investigation costs of \$5,362.

Ends

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