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SFC Prosecutes Chan Kei and Yeung Wan Yiu for Making Unsolicited Calls

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The SFC has successfully prosecuted Mr Chan Kei and Mr Yeung Wan Yiu for making unsolicited calls.

An SFC investigation found that between August 2003 and September 2004, Chan and Yeung, who were licensed representatives of Hantec International Limited at the time, had made unsolicited telephone calls to and visited six persons who were not clients of Hantec with a view to inducing them to enter into an agreement for trading in leveraged foreign exchange contracts, in contravention of section 174 of the Securities and Futures Ordinance.

Chan and Yeung were each found guilty today after trial on three summonses before Mr Leung Wing Chung Winston, a Magistrate at Eastern Magistracy. Chan and Yeung were fined a total of \$30,000 and ordered to pay investigation costs of \$28,840 to the SFC.

Ends

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