

Enforcement News

To save a copy for future reference, right click [here](#) and select "Save Target As..."

29 September 2005

Kong Kwok Yee Convicted of Misleading the SFC

The SFC has successfully prosecuted Ms Kong Kwok Yee for misleading the SFC.

Kong was identified as a suspected trader in a market manipulation investigation. The SFC inquiry found that Kong had provided misleading information to the SFC in relation to the operation of her account at a brokerage house in Hong Kong, in contravention of section 184 of the Securities and Futures Ordinance.

Kong today pleaded guilty to a summons before Magistrate Mr Ian Candy at Eastern Magistracy. Kong was fined \$20,000 and ordered to pay investigation costs of \$11,849 to the SFC.

Ends

Page last updated: 29 September 2005