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## Enforcement News

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28 July 2005

### **SFC Prosecutes Chan Man Kit and Cheng Chun Fai for Making Unsolicited Calls**

The SFC has successfully prosecuted Mr Chan Man Kit and Mr Cheng Chun Fai for making unsolicited calls.

An SFC investigation found that between early and mid-2004, Chan and Cheng, who at that time were representatives of Hantec International Limited, had made unsolicited calls on various occasions inducing others to enter into agreements for trading in leveraged foreign exchange contracts, in contravention of Section.174 of the Securities and Futures Ordinance.

Chan and Cheng pleaded guilty to the summonses today before Mr Ian Candy, a Magistrate at Eastern Magistracy.- Chan was fined \$5,000 and ordered to pay investigation costs of \$5,000 to the SFC.- Cheng was fined \$10,000 and ordered to pay investigation costs of \$6,000.

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