

SFC Prosecutes YourShare Holdings Corporation for contravening the Protection of Investors Ordinance

22 Aug 2001

The SFC also announced today that it had successfully prosecuted YourShare Holdings Corporation for issuance of unauthorized advertisements and promotional documents, which invited members of the public to take part in an investment arrangement relating to the allocation of warrants in return for registration as a member of the website.

YourShare Holdings Corporation pleaded guilty before Ms Polly Lo to a summons for breaching sections 4(1)(b) and 4(4) of the Protection of Investors Ordinance and was fined a total of \$10,000 and ordered to pay investigation costs of \$11,253 to the SFC.

This prosecution came to light as a result of the SFC's monitoring of the Internet. People offering rewards, incentives or gimmicks as a promotional ploy to attract clients should pay attention to the provisions of the Protection of Investors Ordinance because any advertisements inviting the public to take part in what could amount to an investment arrangement requires prior authorization from the SFC.

Page last updated : 1 Aug 2012

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證監會檢控 YourShare Holdings Corporation 違反《保障投資者條例》的規定

2001年8月22日

證監會今天亦公布，本會成功檢控 YourShare Holdings Corporation 發放未經認可的廣告和宣傳文件，邀請公眾人士參與有關在該網站登記成為會員從而獲分配認股權證的投資安排。

YourShare Holdings Corporation 向裁判官盧恆福承認一項違反《保障投資者條例》第 4(1)(b) 和第 4(4) 條的控罪。YourShare Holdings Corporation 被判處罰款合共 10,000 元，及被下令須向證監會繳交 11,253 元的調查費用。

這宗檢控源自證監會對互聯網進行的監察。任何人若透過獎品、誘因或花招作為宣傳手法來吸引投資者，都應該注意《保障投資者條例》的條文，因為任何邀請公眾人士參與可能構成為投資安排的廣告，都必須事先取得證監會的認可。

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