

## SFC Reprimands Wan Shek Keung

15 Jun 2000

The SFC announced today that it had publicly reprimanded Mr Wan Shek Keung (Wan), a registered dealer's representative under the Securities Ordinance.

The SFC's action stemmed from an investigation in the dealings on 4 August 1998 in the shares of a thinly traded stock listed in the Stock Exchange of Hong Kong. At that time, Wan worked as a floor trader for Fook Tai & Co. It was found that Wan had, near the close of trading, placed a series of buy orders that he knew would have the effect of raising the closing price of the shares. His conduct had the potential to distort the actual market price of the shares.

In arriving at this penalty the Commission took into account that Wan had been out of the industry for about six months following the closure of Fook Tai & Co.

Page last updated : 1 Aug 2012

## 證監會譴責尹錫強

2000年6月15日

證監會今天公布，本會已公開譴責根據《證券條例》註冊為交易商代表的尹錫強（尹氏）。

證監會的行動源自一宗調查。該項調查涉及一隻在香港聯合交易所上市而交投淡靜的股份在1998年8月4日的交易。當時，尹氏是福大證券投資公司的出市員。調查發現尹氏曾在接近收市時發出一連串的買入指示，而尹氏知道這樣做會推高上述股份的收市價。尹氏的行為可能會扭曲該股份的實際市價。

證監會在決定作出上述處分時，已考慮到尹氏在福大證券投資公司結業後，曾離開證券行業約6個月。

最後更新日期：2012年8月1日